

2025 Audit Summary for AOC & MMD Prioritized Suppliers

AOC & MMD have conducted supplier assessment on labor and OHS according to “AOC & MMD Supply Chain Management Policy” which aligns with EPEAT Responsible Supply Chain Annex C to all suppliers.

- 4 final assemblies, 3 are directly contracted suppliers, 1 is sub-tier final assembly supplier.
- It is the first year to drive final assembly to do the sub-tier suppliers ESG assessment. Total 84 sub-tier supplier facilities have been assessed: 55 low risk supplier facilities, 29 medium risk supplier facilities, and no high-risk suppliers. Only high-risk suppliers will be categorized to prioritized suppliers. Such sub-tier suppliers cover all kinds of sub-tier supplier categories, including EE (electronic engineering, which cover cords, PCB, capacitors etc), IC, ME (including mechanical parts, packaging, labels etc), and panel(oc). And other sub-tier suppliers whose annual spend take less than 5% of raw materials excluding panel (oc) have been ignored.

Final assemblies and sub-tier suppliers risk assessment %

	Final assemblies (directly contracted suppliers)	Sub-tier Supplier Facilities Risk Assessment			Total
		Low risk supplier facilities	Medium risk supplier facilities	High risk supplier facilities	
Y2025	4	55	29	0	88
%	4.5%	62.5%	33.0%	0	100%

- Total 11 supplier facilities are to be categorized to prioritized suppliers according to “AOC & MMD Supply Chain Management Policy” in 2025:
all 4 final assemblies,
at least 30% % of panel(oc) suppliers even if they fall under low/medium risk suppliers specified by the final assembly, total 11 panel(oc) suppliers, 7 of 11 which is over 30%.

Prioritized suppliers audit %

	Final assemblies	Sub-tier Supplier Facilities Risk Assessment	Total
Y2025	4	7	11
%	36.4%	63.6%	100%

1. The labor and OHS audit summary of the prioritized suppliers as below:

1.1 Final assemblies labor and OHS audit summary of calendar year 2024 to 2025.

1.1.1 Final assemblies labor and OHS audit type summary

Audit Year	Audit type	No. of supplier facilities audited	Geographic area
2024	SA8000+ISO 45001	3	Mainland China
2025	SA8000+ISO 45001	3	Mainland China
2025	RBA VAP	1	Brazil

1.1.2 Final assemblies labor and OHS audit findings and status

Audit findings by 3rd party on final assemblies and confirmed that all NCs (NC means non-conformity) have been remedied and closed.

Labor Major NC	Labor Minor NC	OHS Major NC	OHS Minor NC	Control process, Supplier responsibility Major NC	Control process, Supplier responsibility Minor NC	Location	Calendar Year
-	-	-	6	-	3	Mainland China	2024
-	1	-	-	-	-	Brazil	2024
-	-	-	-	4	-	Brazil	2024
-	-	-	3	-	3	Mainland China	2025
-	-	-	-	-	-	Brazil	2025

1.1.3 Details of above findings in year 2024 and 2025 for final assemblies

NC Type	NC type	NC No.	NC %	Location	Year
OHS	Minor	6	30%	Mainland China	2024
Control process, Supplier responsibility	Minor	3	15%	Mainland China	2024
Labor- NON-DISCRIMINATION /NON-HARASSMENT / HUMANE TREATMENT	Minor	1	5%	Brazil	2024
Control process, Supplier responsibility	Major	4	20%	Brazil	2024
OHS	Minor	3	15%	Mainland China	2025
Control process, Supplier responsibility	Minor	3	15%	Mainland China	2025
Total		20	100%		

1.2 Prioritized 7 sub-tier supplier facilities RBA scores of 2025

1.2.1 RBA VAP scores status on panel (open cell) suppliers

RBA VAP Level	Audited supplier facilities no.	%	Action	Address
Silver	7	100%	Confirm NCs type and whether remediated or closed	Mainland, China
Total	7			

1.2.2 NC categories of 7 sub-tier supplier facilities, whose RBA VAP are silver.

Labor Major NC	Labor Minor NC	OHS Major NC	OHS Minor NC	Control process, Supplier responsibility, and others Major NC	Control process, Supplier responsibility, and others Minor NC	Location	Calendar Year
9	2	2	1	7	3	Mainland China	2025

1.2.3 Details of item 2.2 NCs

NC Type	NC type	NC No.	NC %	Location	Year
Labor-working hours	Major	5	20.8%	Mainland China	2025
Labor-working hours	Minor	2	8.3%	Mainland China	2025
Labor-wages and benefits	Major	4	16.7%	Mainland China	2025
OHS	Major	2	8.3%	Mainland China	2025
OHS	Minor	1	4.2%	Mainland China	2025
Control process, Supplier responsibility	Major	7	29.2%	Mainland China	2025
Control process, Supplier responsibility	Minor	3	12.5%	Mainland China	2025
Total		24	100%	Mainland China	2025

2. AOC & MMD encourage suppliers to get RBA VAP audit or get SA 8000 + ISO 45001 certificate, whose audit program cover item freedom of association and collective bargaining. AOC & MMD requires the prioritized suppliers to make sure their employees can form and join organizations of their choice, and to bargain collectively through their chosen representatives without interference, discrimination, retaliation, or harassment.
3. For any violations as non-conformities recognized by 3rd parties, AOC & MMD will work with suppliers to make CAP (Corrective Action Plan) with detailed correction measures and target close date until it is remedied.
4. For supplier chain labor and OHS management, AOC, MMD collaborate with expertise industry-independent organizations for improvement, which base on membership of TPV Technology Limited as affiliate RBA membership and RMI Responsible Mineral Initiative.
5. AOC, MMD are keen to improve the supply chain management, and any possible violations regarding to applicable laws and /or RBA code of conduct will be encouraged to report to Stefan.vanSabben@tpv-tech.com or Marcella.Klinker@tpv-tech.com for more investigation and improvement.